

# REPORT DOCUMENTATION PAGE

Form Approved  
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (Leave blank)		2. REPORT DATE 2/18/99	3. REPORT TYPE AND DATES COVERED Final Report 5/1/95 - 4/30/98	
4. TITLE AND SUBTITLE Dual and Simultaneous Roles of Nucleophilic Delivery			5. FUNDING NUMBERS N00014-90-J-4132 G	
6. AUTHOR(S) Dr. Thomas C. Bruice				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of California, Santa Barbara Department of Chemistry Santa Barbara, CA 93106			8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Office of Naval Research 800 North Quincy St. Arlington, VA 22217-5660			10. SPONSORING/MONITORING AGENCY REPORT NUMBER	
11. SUPPLEMENTARY NOTES Distribution Unlimited				
12a. DISTRIBUTION/AVAILABILITY STATEMENT Distribution Unlimited			12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) We have elucidated the mechanisms of hydrolysis of phosphate diesters by acid and metal ion catalysis. In addition a new catalyst carrier (microgonotropens) to DNA and RNA have been invented, synthesized (patented) and the reactions with DNA studied. Such information is particularly important to those interested in the catalysis of hydrolysis of DNA and RNA.				
14. SUBJECT TERMS Catalysis, hydrolosis, DNA & RNA			15. NUMBER OF PAGES 7 (w/cover sheet)	
			16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT UL	

## FINAL REPORT

Grant # : ONR N00014-90-J-4132

PRINCIPAL INVESTIGATOR: THOMS C. BRUCE

INSTITUTION: University of California At Santa Barbara

GRANT TITLE: The Dual and Simultaneous Roles of Nucleophile delivery and Assistance to Leaving Group Departure by Metal Ions in Phosphate Transfer From Phosphate Diesters

AWARD PERIOD: 14 Sept 1990 to 30 April 1998

OBJECTIVE: To elucidate the mechanisms of catalysis of hydrolysis of phosphate diesters, to invent systems which can be used as (among other things) carriers of catalytic entities to DNA for their hydrolysis.

APPROACH ACCOMPLISHMENTS & CONCLUSIONS: We have published 35 manuscripts supported completely or partially by this ONR grant. These publications fall into three categories: A) catalysis of hydrolysis of organic phosphate diesters; B) an invented class (Microgonotropens) of DNA minor to major groove binding agents with planned use as catalyst carriers for the hydrolysis of DNA. Initial publications dealing with the first synthesis of DNG and RNG (the initiation of the research that would be further supported by ONR N00014-96-1-0123); and C) subjects dealt with by computational chemistry. Concerning "C", this grant, at an early time, supported the growth of hardware in the P.I.'s computational facility and this has allowed solution of computational problems that do and do not fall into categories "A" and "B".

Catalysis of the hydrolysis of phosphate diesters by various functional groups were studied as intramolecular reactions with single turnovers. One and two functional group catalysis as well as with one, two, and three metal ion catalysis were investigated. Systems with two neighboring pyridine amine nitrogens establish nucleophilic attack of one of the nitrogen upon phosphate, the absence of general-acid catalysis but the presence of metal ions assistance to the departure of the leaving group.<sup>1</sup> In the latter rate enhancements of  $10^3$  M were observed. Several systems involving nucleophilic catalysis by a  $-\text{CO}_2^-$  concerted with general acid catalysis by a  $-\text{CO}_2\text{H}$  group were investigated.<sup>2,3,4</sup> The most effective exhibited rate enhancements of  $10^9$  M.

Dinucleotides were designed and synthesized such that metal ions could be ligated near the phosphate moiety. In the first step of hydrolysis

1 9 9 9 0 2 2 5 1 8 6

these “ribonucleotides” undergo intramolecular transesterification by specific  $\text{HO}^-$  ionization of the ribose 2'-OH which is catalyzed by  $\text{Zn}^{2+}$  ( $10^5$ -fold),  $\text{Mg}^{2+}$  ( $10^3$ ),  $\text{Cu}^{2+}$  ( $10^5$ ), and  $\text{La}^{3+}$  ( $10^9$ -fold). Whereas in the  $\text{Zn}^{2+}$  complex the metal ion owes its catalysis to the neutralization of the negative charge on the  $\text{O}-(\text{PO}_2^-)-\text{O}-$  the more efficient  $\text{La}^{3+}$  is capable of also ligating the leaving oxyanion. Reasons for the catalytic efficiency of  $\text{La}^{3+}$  are treated.<sup>5,6</sup>

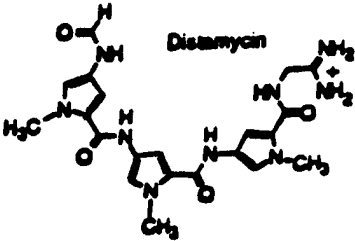
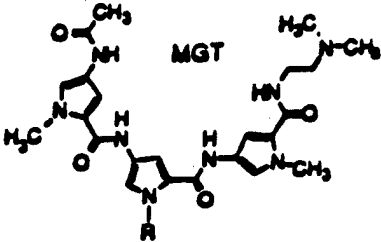
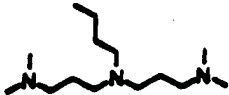


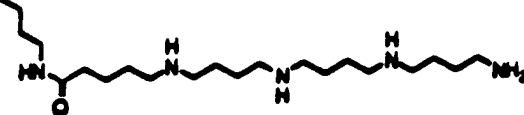
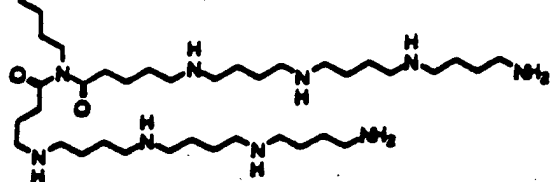
Phosphodiester and phosphonate esters have like rates of hydrolysis if the leaving groups are the same. Using a phosphonate linkage it was possible to design and synthesize an ester that ligates two  $\text{La}^{3+}$  ions. Hydroxide ion is ligated by one metal ion and held in such a fashion that it is inline with the leaving group and adjacent to the phosphate phosphorous. This  $\text{HO}^-$  is in perfect position to carry out a displacement reaction at the phosphate linkage. The same  $\text{La}^{3+}$  which ligates the  $\text{HO}^-$  also interacts with one of the two  $-(\text{PO}_2^-)-$  oxygens. The second  $\text{La}^{3+}$  interacts with the other  $-(\text{PO}_2^-)-$  oxygen as well as the leaving group. All possible interactions for catalysis are fulfilled. The rate enhancement is  $10^{13}$ -fold.<sup>7,8</sup> We have been invited to prepare a review of our work and it should appear in March 1999.<sup>9</sup>

The structures of our synthetic Microgonotropens are provided in Table I.<sup>10</sup> Studies of the synthesis,<sup>11,12,16,18,20</sup> foot-printing<sup>12,16</sup>, determination of equilibrium constants for Microgonotropens binding to DNA sequences<sup>13</sup> and the determination of structures of microgonotropen-DNA complexes<sup>14,15,17,19</sup> have been carried out. Our purpose in the development of the microgonotropen technology was to arrive at synthetic materials with DNA nuclease activity. Microgonotropens have attracted attention from biologists as well. A key component of gene regulation is the binding of transcription factors (TFs) to promoter elements containing their consensus DNA binding site. Targeting of E2F1-DNA complexes with microgonotropens has been carried out (Table 1). The most effective in inhibiting complex formation between E2F1 and the dihydrofolate reductase promoter is MGT-6a which exhibited 50% inhibition of complex formation at  $0.00085 \mu\text{M}$ .<sup>1</sup> Our concept of the microgonotropen has been patented and is being included into the structures of Peter Dervan.

Peptide nucleic acids (PNA) are tight binders to DNA and as such of interest to us. Several Molecular Mechanics studies of the structures of Polyamide nucleic Acid DNA Duplexes and Triplexes have been published.<sup>21,22</sup>

The subject of ONR N00014-96-1-0123 is the synthesis and study of DNA and RNA mimics in which the negatively charged phosphate diester linkages of DNA and RNA  $[-\text{O}-(\text{PO}_2^-)-\text{O}-]$  are replaced by positively charged linkers as the guanido linker  $[-\text{NH}-(\text{C}=\text{NH}_2^+)-\text{NH}-]$  in DNG and

Table 1. Association constants for MGT compounds with d(GGCGA<sub>3</sub>T<sub>3</sub>GGCGG)/d(CCGCA<sub>3</sub>T<sub>3</sub>GCGCC) (in H<sub>2</sub>O, 10 mM phosphate buffer, pH 7.0/10 mM NaCl at 35°C)

Compound		log( <i>K</i> <sub>1</sub> )	log( <i>K</i> <sub>2</sub> )	log( <i>K</i> <sub>1</sub> <i>K</i> <sub>2</sub> )
 Distamycin		7.6	8.4	16
 MGT				
2	R = -CH <sub>3</sub>	6.8	6.2	13
1	R = -CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	8.4	8.0	16.4
5a	R = 	8.5	8.9	17.4
5b	R = 	9.2	9.2	18.4
6b	R = 	8.9	9.0	17.9
7	R = 	7.6	9.2	16.7
8	R = 	9.3	7.9	17.3

RNG. The first DNG and RNG studies were carried out with the financial assistance from the present grant..<sup>22,23,24,25,26</sup>

Computational studies which have benefited by use of the computer allowed in the budget of the present grant<sup>27 to 38</sup> carry the acknowledgment "We thank the ONR for support of our computational facility."

**SIGNIFICANCE:** We have elucidated the mechanisms of hydrolysis of phosphate diesters by acid and metal ion catalysis. In addition a new catalyst carrier (microgonotropens) to DNA and RNA have been invented, synthesized and the reactions with DNA studied. Such information is particularly important to those interested in the catalysis of hydrolysis of DNA and RNA.

**PATENT:**

T. C. Bruice, K. A. Browne, and G-X. He. TRIHETEROCYCLIC PEPTIDES CAPABLE OF BINDING THE MINOR AND MAJOR GROOVES OF DNA, U. S. Patentt Number 5,698,674. Allowed 12/16/97

**PUBLICATIONS:**

**A) Catalysis of Hydrolysis of Organic Phosphate Diesters.**

1. K.A. Browne and T.C. Bruice, Chemistry of Phosphodiester, DNA and Models.
2. The Hydrolysis of Bis(8-hydroxyquinoline) Phosphate in the Absence and Presence of Metal Ions, J. Am. Chem. Soc., **114**, 4951 (1992).
2. T. C. Bruice, A. Blaskó, R. D. Arasasingham, J-S. Kim, M. Petyak, Hydrolysis of a Phosphate Diester by Simultaneous Carboxylate and Carboxyl Group Participation in a Rigid System with Kinetically Unfavorable Rotamers Frozen Out, J. Am. Chem. Soc., **117**, 3639 (1995).
3. Thomas C. Bruice, Andrei Blaskó, and Mark E. Petyak. Participation of two Carboxyl Groups in Phosphodiester Hydrolysis. I. The Hydrolysis of Bis-(2-carboxyphenyl) Phosphate, J. Am. Chem. Soc., **117**, 12064 (1995).
4. Thomas C. Bruice, Andrei Blaskó, Ramesh D. Arasasingham, and Jang-Seob Kim. Participation of two Carboxyl Groups in Phosphodiester Hydrolysis. II A Kinetic, Isotopic and <sup>31</sup>P NMR Study of the Hydrolysis of a Phosphodiester with Carboxyl Groups Fixed in an Attack Conformation, J. Am. Chem. Soc., **117**, 12070 (1995).
5. R.O. Dempcy and T.C. Bruice, The Negative Charge of Alkyl Phosphate Diesters and the Slow-gated Hydrolysis of RNA and DNA. Catalysis of RNA Hydrolysis through Metal Ion Ligation to the Ester >PO<sub>2</sub><sup>-</sup> Moiety, J. Am. Chem. Soc., **116**, 4511 (1994).
6. T. C. Bruice, A. Tsubouchi, R. O. Dempcy and L. P. Olsen, One and Two Metal Ion Catalysis of the Hydrolysis of Adenosine-3;- (alkyl)phosphate esters. Models for One and Two Metal Ion Catalysis of RNA Hydrolysis, J. Am. Chem. Soc., **118**, 9867 (1996).
7. A. Tsubouchi, T. C. Bruice, A Remarkable (~10<sup>13</sup>) Rate Enhancement in Phosphonate Ester Hydrolysis Catalyzed by Two Metal Ions, J. Am. Chem. Soc., **116**, 11614 (1994).
8. A. Tsubouchi, T. C. Bruice, Phosphonate Ester Hydrolysis Catalyzed by Two Lanthanum Ions. Intramolecular Nucleophilic Attack of Coordinated Hydroxide and Lewis Acid Activation, J. Am. Chem. Soc., **117**, 7399 (1995).

9. A. Blasko & T. C. Bruice, Recent Studies of Nucleophilic, General-acid and Metal Ion Catalysis of Phosphate Diester Hydrolysis, *Acc. Chem. Res.*, For March (1999).
- B) DNA Binding agents (Microgonotropens).**
10. S. Y. Chiang, T. C. Bruice, J. C. Azizkhan, L. Gawron & T. Beerman, Targeting E2F1/DNA Complexes with Microgonotropen DNA Binding Agents, *Proc. Natl. Acad. Sci. (USA)*, **94**, 2811 (1997).
11. T.C. Bruice, H.-Y. Mei, G.-X. He and V. Lopez, Rational design of substituted tripyrrole peptides that complex with DNA by both selective minor-groove binding and electrostatic interaction with the phosphate backbone, *Proc. Natl. Acad. Sci. (USA)*, **89**, 1700 (1992).
12. G-X. He, K.A. Browne, J.C. Groppe, A. Blasko, H-Y. Mei, and T.C. Bruice, Microgonotropens and Their Interactions with DNA. I. Synthesis of the Tri-Pyrrole Peptides Dien-Microgonotropen-a, -b, and -c and Characterization of Their Interactions with dsDNA, *J. Am. Chem. Soc.*, **115**, 7061 (1993).
13. K.A. Browne, G-X. He, and T.C. Bruice, Microgonotropens and Their Interactions with DNA. II. Quantitative Evaluation of Equilibrium Constants for 1:1 and 2:1 Binding of Dien-Microgonotropen-a, -b, and -c as well as Distamycin and Hoechst 33258 to d(GGCGCAAATTTGGCGG)/d(CCGCCAAATTTGCGCC), *J. Am. Chem. Soc.*, **115**, 7072 (1993).
14. A. Blasko, K.A. Browne, G-X. He, and T.C. Bruice, Microgonotropens and Their Interactions with DNA. III. Structural Analysis of the 1:1 Complex of d(CGCAAATTTGCG)<sub>2</sub> and Dien-Microgonotropen-c by 2D NMR Spectroscopy and Restrained Molecular Modeling, *J. Am. Chem. Soc.*, **115**, 7080 (1993).
15. A. Blaskó and T.C. Bruice, Stoichiometry and Structure of Complexes of DNA Oligomers with Microgonotropens and Distamycin by <sup>1</sup>H NMR Spectroscopy and Molecular Modeling, *Proc. Natl. Acad. Sci. (USA)*, **90**, 10018 (1993).
16. G-X. He, K.A. Browne, A. Blaskó, and T.C. Bruice, Microgonotropens and Their Interactions with DNA. IV. Synthesis of the Tripyrrole Peptides Tren-Microgonotropen-a and -b and Characterization of Their Interactions with DsDNA, *J. Am. Chem. Soc.*, **116**, 3716 (1994).
17. A. Blaskó, K.A. Browne, and T.C. Bruice, Microgonotropens and Their Interactions with DNA. V. Structural Characterization of the 1:1 complex of d(CGCAAATTTGCG)<sub>2</sub> and Tren-Microgonotropen-b by 2D NMR Spectroscopy and Restrained Molecular Modeling, *J. Am. Chem. Soc.*, **116**, 3726 (1994).
18. T. Xue, K. A. Browne, T. C. Bruice, A Novel Minor Groove Binding Reagent Designed to Serve as a "Truck" to Carry DNA Modifying Moieties into the Major Groove, *Bioconjugate Chem.* **6**, 82 (1995).
19. A. Blasko, K. A. Browne, T. C. Bruice, NMR Structure of d(CGCA<sub>3</sub>T<sub>3</sub>GCG)<sub>2</sub>:Tren-Microgonotropen-b:Zn(II) Complex and Solution Studies of Metal Ion Complexes of Tren-Microgonotropen-b Interacting with DNA, *Medicinal and Bioorganic Chemistry*, **3**, 631 (1995).
20. D. Sengupta, A. Blasko, T. C. Bruice, A Microgonotropen Pentaaza Pentabutylamine and its Interactions with DNA, *Bioorganic and Med. Chem.*, **4**, 803 (1996).
21. Ö Almarsson, T.C. Bruice, J. Kerr and R. Zuckermann, Molecular Mechanics Calculations of the Structures of Polyamide Nucleic Acid DNA Duplexes and Triple Helical Hybrids, *Proc. Natl. Acad. Sci.(USA)*, **90**, 7518 (1993).
22. Ö Almarsson, and T.C. Bruice, Peptide Nucleic Acid (PNA) Conformation and Polymorphism in PNA-DNA and PNA-RNA Hybrids, *Proc. Natl. Acad. Sci. (USA)*, **90**, 9542 (1993).

23. R. O. Dempcy, Ö. Almarsson, and T. C. Bruice, The Design and Synthesis of DNG: A Polycation Analogue of DNA, *Proc. Natl. Acad. Sci.(USA)*, **91**, 7864 (1994).
24. R. O. Dempcy, K. A. Browne and T. C. Bruice, Synthesis of the Polycation Thymidyl DNG, Its Fidelity in Binding Polyanionic DNA/RNA, and the Stability and Nature of the Hybrid Complexes, *Am. Chem. Soc.*, **117**, 6140 (1995).
25. R. O. Dempcy, K. A. Browne, T. C. Bruice, Synthesis of a Thymidyl Pentamer of DNG and Binding Studies with DNA Homopolyoligonucleotides,
26. K. A. Browne, R. O. Dempcy, T. C. Bruice, Binding Studies of Cationic DNG to RNA Homopolynucleotides, *Proc. Natl. Acad. Sci (USA)*, **92**, 7051 (1995).

**C). Computational Problems.**

27. Ö. Almarsson, R. Karaman, and T.C. Bruice, Kinetic Importance of Conformations of Nicotinamide Adenine Dinucleotide in the Reactions of Dehydrogenase Enzymes, *J. Am. Chem. Soc.*, **114**, 8702 (1992).
28. Ö. Almarsson, and T.C. Bruice, Evaluation of the Factors Influencing Reactivity and Stereospecificity in NAD(P)H Dependent Dehydrogenase Enzymes, *J. Am. Chem. Soc.*, **115**, 2125 (1993).
29. Ö Almarsson, A. Sinha, E. Gopinath, and T.C. Bruice, The Mechanism of One-Electron Oxidation of NAD(P)H and the Function of NADPH Bound to Catalase, *J. Am. Chem. Soc.*, **115**, 7093 (1993).
30. R. D. Arasasingham, G.-X. He, and T. C. Bruice, Mechanism of Manganese Porphyrin-Catalyzed Oxidation of Alkenes. Role of Manganese(IV)-Oxo Species, *J. Am. Chem. Soc.*, **115**, 7985 (1993).
31. F. C. Lightstone, T. C. Bruice, Geminal-Dialkyl Substitution, Intramolecular Reactions and Enzyme Efficiency, *J. Am. Chem. Soc.*, **116**, 10789 (1994). ..
32. F. C. Lightstone, T. C. Bruice, Ground State Conformations and Entropic and Enthalpic Factors in the Efficiency of Intramolecular and Enzymatic Reactions. I Cyclic Anhydride Formation by Substituted Glutarates, Succinate and 3,6-Endoxo- $\Delta^4$ -tetrahydrophthalate Monophenyl Esters. *J. Am. Chem. Soc.*, **118**, 2595 (1996).
34. H. Adalsteinsson & T. C. Bruice, What is the Mechanism of Catalysis of Ester Aminolysis by Weak Amine Bases? Comparison of Experimental Studies and Theoretical Investigation of the Aminolysis of Substituted Phenyl Esters of Quinoline-6- and -8-Carboxylic Acids, *J. Am. Chem. Soc.*, **120**, 3440 (1998).
35. F. C. Lightstone, Y-J. Zheng & T. C. Bruice, The Structure of the ES Complex and Transition State Formed in the  $S_N2$  Displacement of Cl<sup>-</sup> from 1,2-Dichloroethane at the Active Site of Xanthobacter autotrophicus Haloalkane Dehalogenase, *Bioorganic Chem.*, **26**, 169 (1998).
36. F. C. Lightstone, Y-J. Zheng & T. C. Bruice, The Structure of the ES Complex and Transition State Formed in the  $S_N2$  Displacement of Cl<sup>-</sup> from 1,2-Dichloroethane at the Active Site of Xanthobacter autotrophicus Haloalkane Dehalogenase, *Bioorganic Chem.*, **26**, 169 (1998).
37. R. A. Torres and T. C. Bruice, Interresidue Hydrogen Bonding in a PNA-RNA Heteroduplex, *Proc. Natl. Acad. Sci (USA)*, **93**, 649 (1996)
38. F. C. Lightstone & T. C. Bruice, Separation of Ground State and Transition State Effects in Intramolecular and Enzymatic Reactions. II. A Theoretical Study of the Formation of Transition States in Cyclic Anhydride Formation, *J. Am. Chem. Soc.*, **119**, 9103 (1997).